

IFW

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Deposited: February 12, 2007

Signature:

Jeanne M. Brashears
(Jeanne M. Brashears)



Docket No.: 06005/41116
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

John M. Lucas et al.

Application No.: 10/591,804

Confirmation No.: Not Yet Assigned

Filed: September 6, 2006

Art Unit: Not Yet Assigned

For: Graphics Integration into a Process Configuration and Control Environment

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Copies of documents B1-B20 and C1-C10 are enclosed.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art."

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Accordingly, no fees are due with the filing of this paper. However, the Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 06005/41116. A copy of this paper is enclosed.

Dated: February 12, 2007

Respectfully submitted,

By Jeanne M. Brashear
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

3

Complete if Known	
Application Number	10/591,804
Filing Date	September 6, 2006
First Named Inventor	John M. Lucas
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned

Attorney Docket Number 06005/41116

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
A1	US-20020019672	02-14-2002	Paunonen		
A2	US-20020022894	02-21-2002	Eryurek et al.		
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A34	US-6,691,280	02-10-2004	Dove et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
B1	EP-0813129		12-17-1997	Foxboro Co		
B2	EP-1122652		08-08-2001	Mitsubishi Electric Corp		
B3	GB-2349958		11-15-2000	Fisher Rosemount Systems Inc		
B4	GB-2372365		08-21-2002	Fisher Rosemount Systems Inc		

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/591,804
<i>(Use as many sheets as necessary)</i>				Filing Date	September 6, 2006
				First Named Inventor	John M. Lucas
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	2	of	3	Attorney Docket Number	06005/41116

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B19	WO-2005/109131	11-17-2005	Fisher-Rosemount Systems, Inc.	
B20	WO-2005/109250	11-17-2005	Fisher-Rosemount Systems, Inc.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	C1	CAMO product datasheet, "The Unscrambler 9.6," www.camo.com .		
	C2	Developers.sun.com, "Core J2EE Patterns - Data Access Object," CORE J2EE PATTERN CATALOG (2004). Retrieved from the Internet on 02/01/2006: <URL: http://web.archive.org/web/20040414043406/java.sun.com/blueprints/corej2eepatterns/Patter		

Examiner Signature	Date Considered
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Substitute for form 1449/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/591,804
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				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	3	of	3	Attorney Docket Number	06005/41116

		ns/DataAccessObjects.html>>	
	C3	Han et al., "Web Based rSPC (realtime Statistical Process Control) System Supporting XML Protocol," ISIE, Vol. 1, pp.399-403 (2001).	
	C4	Mehta et al., "Feedforward Neural Networks for Process Identification and Prediction," Neural Network and Expert Systems Presentation (2001).	
	C5	Mohr et al., "BizTalk and Application Integration - Translating Between Message Specification: BizTalk Mapper," Professional BizTalk, pp.1-3 (2001).	
	C6	StatSoft product datasheet, "Statistica, Multivariate Statistical Process Control," www.statsoft.com.	
	C7	Tzovla et al., "Abnormal Condition Management Using Expert Systems," Neural Network and Expert Systems Presentation (2001).	
	C8	Umetrics product datasheet, "SIMCA-P 11" and "SIMCA-P+ 11," www.umetrics.com.	
	C9	W3C, "XSL Transformations (XSLT)," W3C Recommendation, pp.3 (1999).	
	C10	International Search Report for International Application No. PCT/US2005/015588, mailed August 26, 2005.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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